



AI. ASSURE

for  SharePoint

Apply, monitor and control Governance, Risk and Compliance (GRC) policy across SharePoint

AI. ASSURE for Microsoft SharePoint enables the top down application of Governance, Risk and Compliance (GRC) policy across unstructured data held within Microsoft SharePoint. This is achieved without relying on the user and improves the governance and control an organisation has over SharePoint. This solution is designed for organisations to meet the sometimes complex regulatory and corporate policy demands that result from multiple divisions operating across diverse political and geographic boundaries.

Adoption of this solution will enable the reduction of both the risk and cost associated with non-conformance, data storage, e-discovery and legal review.

Business Challenge

Regulation, Risk and Compliance Management

As part of the on-going and well reported global financial crisis, large information centric organisations are subject to a constantly evolving, robust regulatory environment. There are significant obligations around the reporting, management and preservation of financial transactions, customer interactions and corporate records in line with the regulatory position.

Challenges for dynamic, diverse and large scale organisations include:

- Extensive amounts and rising volumes of data, with no policy applied
- An inability to assess and identify the data of corporate relevance, resulting in cost leakage through missing or inconsistent policy application
- In-efficient data management and governance
- Rising cost of permanent data storage

The volume and velocity of data is a constant challenge for large organisations. This is leading to lengthy and costly processes for legal discovery as well as an inability to retrieve accurate and relevant information to satisfy the demands of regulators. This impacts the organisation in the following way:

- Mounting costs resulting from the daily operational impact associated with legal discovery, hold and review
- Cost of non-conformance in reputational damage and fines incurred is increasing
- Prohibits business continuity, effectiveness and competitiveness, due to a lack of visibility of regulatory compliance status and the time impact on end users to meet regulatory demands/requests

As regulation, risk and compliance management becomes more complex, costs associated with meeting regulatory demand are rising significantly.

Due to corporate control and scrutiny, organisations have a requirement for a cost-effective enterprise, risk and governance business tool to meet the ever-growing reporting obligations to regulators.

The Solution

Microsoft SharePoint is a solution that many large organisations are currently using for collaboration and document storage. **AI.ASSURE** extends SharePoint with additional enhancement features enabling it as a full Enterprise, Risk and Governance business tool.

- Automated GRC policy application, updating and reporting across SharePoint
- Dynamic governance and compliance dashboards, highlighting risk and non-compliance
- Controlled site creation and management through extended template provisioning
- Enhanced discovery, hold, review and disclosure capability

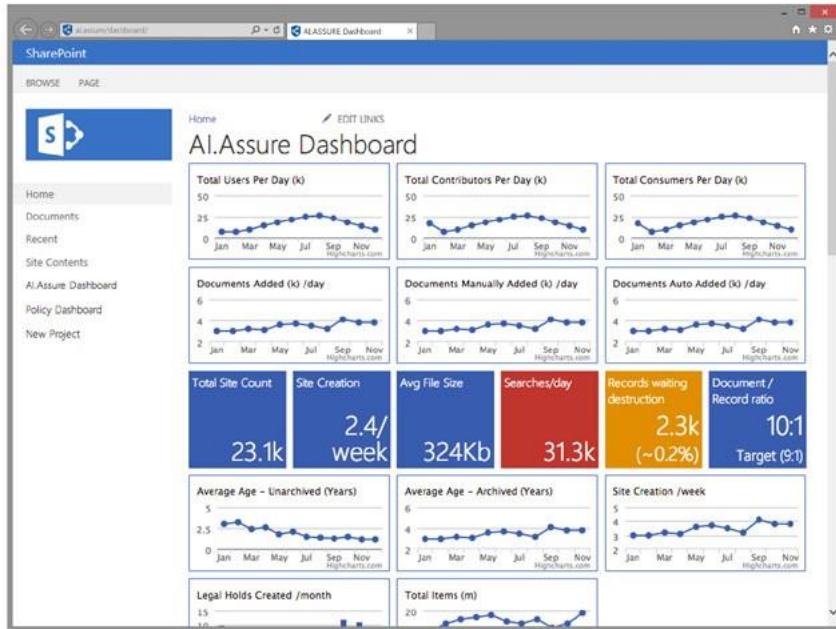


Policy application from creation through its entire lifecycle

AI.ASSURE provisions, enforces and reports all GRC controls, regulatory requirements and organisational policies across SharePoint. This includes sites, libraries, documents and records at point of creation, through to regulated disposition or archival.

AI.ASSURE allows organisations to define tailored Line of Business driven SharePoint templates that incorporate all of the GRC and organisational policies. This ensures that all new and future SharePoint usage has the correct policy applied without impacting the user.

AI.ASSURE desktop integration allows data related to corporate activity to be automatically collected from employees desktop and email environments. This reduces risk, increases compliance and removes the burden from the users.

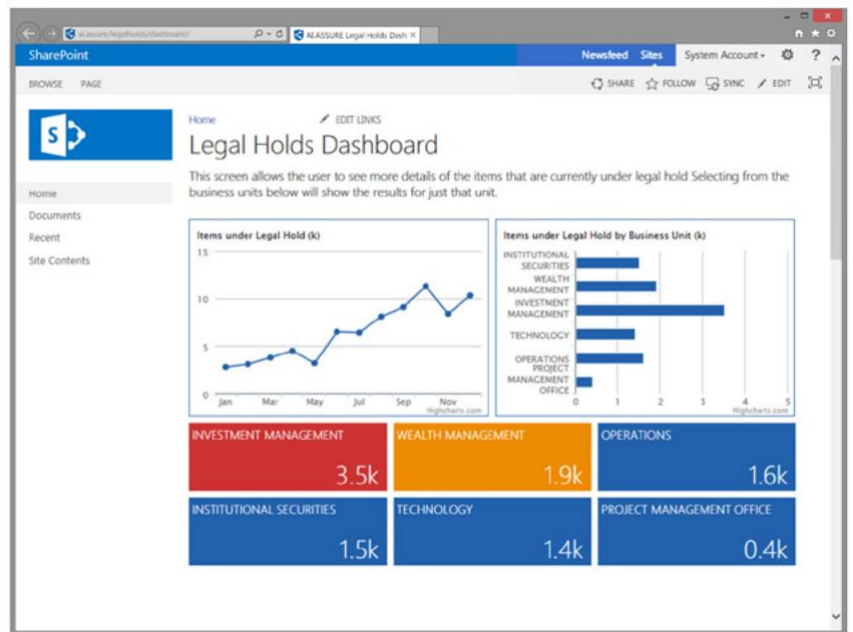


Overview and management of Information held in SharePoint

AI.ASSURE for SharePoint creates a dashboard view of the site infrastructure and the impact of GRC policy. Through the dashboard, an organisation can understand key metrics of their data in SharePoint; who created it, who accesses it and how and where policy is being applied. Areas of risk can be identified and resolution actions can be taken. This enables continuous assessment, automatic notification of policy change and management reporting across all SharePoint sites and data.

Legal discovery, hold and review

AI.ASSURE streamlines the workflow and management associated with complex and costly projects related to legal discovery, hold and review. An easy to use discovery and export platform simplifies and improves the process of e-discovery for litigation, data breach investigation and compliance demands. In addition, the solution can define and execute legal holds and data collection. The addition of automatic hold summarisation, refinement and lifting completes the process offering end to end legal hold management.



Benefits

Organisation

- Reduces operational impact of managing Governance, Risk and Compliance (GRC)
- Allows organisations to understand how SharePoint is being used on a day to day basis
- Improves the use of SharePoint over time and reduces the management overhead at a corporate, local "Line of Business" (LoB) or functional level

Legal

- Reduces the daily impact to operations and the costs associated with legal discovery, hold and review
- Improves accuracy and relevancy of information resulting in a more effective administration process
- Manages GRC for organisations without dependency on the user, improving ability to conform to regulations

IT

- Controls and measures the cost of regulatory and legal requests
- Enables the simplification of architecture, reducing storage costs
- Allows IT to understand SharePoint usage and manage user demand and adoption more effectively

End User

- Improves user experience through automated management and tagging of data
- Increases efficiencies and productivity through enhanced search and navigation of documents
- Drives data of value to appropriate users with automated tagging for use in subscriptions and alerts between data and value